



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

| APPLICATION NUMBER | FILING/RECEIPT DATE | FIRST NAMED APPLICANT | ATTORNEY DOCKET NUMBER |
|--------------------|---------------------|-----------------------|------------------------|
| 09/935,386         | 08/22/2001          | John C. Yu            | TSRI 753.1             |

26621  
THE SCRIPPS RESEARCH INSTITUTE  
OFFICE OF PATENT COUNSEL, TPC-8  
10550 NORTH TORREY PINES ROAD  
LA JOLLA, CA 92037

CONFIRMATION NO. 1866

## FORMALITIES LETTER



\*OC000000006800021\*

Date Mailed: 09/27/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 130.**

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
  - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. ( 5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

01/07/2002 BNGUYEN1 00000027 09935386

01 FC:105 130.00 DP

A copy of this notice **MUST** be returned with the reply.

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

09936186-010202



Sector \$

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

CERTIFICATE OF MAILING

Thereby certify that this RESPONSE TO NOTICE TO FILE MISSING PARTS and the documents referred to as enclosed therein are being deposited with the United States Postal Service on the date indicated below with sufficient postage as First Class Mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231.

Nancy Malsich  
Nancy Malsich

10/18/01  
Date of Deposit

Applicant: Yu )  
Serial No.: 09/935,386 / ) Art Unit: 1632  
Filed: August 22, 2001 ) Examiner: unassigned  
For: IN VIVO ANIMAL MODEL OF )  
HUMAN LEUKEMIA ) Our Ref.: TSRI 753.1

RESPONSE TO NOTICE TO FILE MISSING PARTS

Assistant Commissioner for Patents  
Washington, D.C. 20231

Dear Sir:

In response to the Notice to File Missing Parts mailed September 27, 2001, enclosed is a Declaration and Power of Attorney document signed by applicant in support of the above-identified patent application. Enclosed is a check in the amount of \$130.00 for the surcharge set forth in 37 CFR \$1.16(e).

Also enclosed are substitute drawings consisting of 6 sheets (Figures 1-6) submitted to correct the margins as required under 37 CFR \$1.84. Entry is respectfully requested.

No further fee is believed required. However, should any additional fee be required, please charge our Deposit Account No. 19-0962.

Respectfully submitted,

Thomas E. Northrup, Reg. No. 33,268

THE SCRIPPS RESEARCH INSTITUTE  
10550 North Torrey Pines Road  
Mail Drop TPC-8  
La Jolla, California 92037  
(858) 784-2937